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(71) Applicant: E.I. DU PONT DE NEMOURS AND COMPANY [US/US]; 1007 Market Street, Wilmington, DE 19898 (US).

(72) Inventors: AERTS, Armand; Driesstraat 26, B-3461 Bekkevoort (BE). HUYBRECHTS, Jozef; Korenbloemstraat 39, B-2360 Oud-Turnhout (BE).

(74) Agents: COSTELLO, James, A. et al.; E.I. du Pont de Nemours and Company, Legal/Patent Records Center, 1007 Market Street, Wilmington, DE 19898 (US).

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(54) Title: A HIGH-SOLIDS COATING COMPOSITION

#### (57) Abstract

A coating composition useful for a finish for automobiles and trucks in which the film-forming binder comprises a hydroxy-functional (meth)acrylate copolymer derived from comonomers comprising an alkyl-substituted cycloaliphatic (meth)acrylic comonomer and/or alkyl-substituted aromatic vinyl comonomer is disclosed. The composition further comprises a structured multi-hydroxyl oligoester and a cross-linking agent. The composition is characterized by an improved balance of viscosity and dry time.

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#### TITLE

# A HIGH-SOLIDS COATING COMPOSITION FIELD OF THE INVENTION

A coating composition comprising a hydroxyfunctional (meth)acrylate copolymer derived from
comonomers comprising a certain proportion of alkylsubstituted cycloaliphatic (meth)acrylic comonomer
and/or alkyl-substituted aromatic vinyl comonomer is
disclosed. The composition further comprises a
hydroxy-functional oligomer, as described below, which
can crosslink with a crosslinking agent to form a
thermosetting coating composition.

#### BACKGROUND OF THE INVENTION

Automobiles and trucks receive exterior finishes for several well known reasons. First, such finishes provide barrier protection against corrosion. Second, consumers prefer an exterior finish having an attractive aesthetic finish, including high gloss and excellent DOI (distinctness of image).

A typical automobile steel panel or substrate has several layers of finishes or coatings. The substrate is typically first coated with an inorganic rustproofing zinc or iron phosphate layer over which is provided a primer which can be an electrocoated primer or a repair primer. Optionally, a primer surfacer can be applied to provide for better appearance and/or improved adhesion. A pigmented basecoat or colorcoat is next applied over the primer. A typical basecoat or colorcoat comprises a pigment, which may include metallic flakes in the case of a metallic finish. order to protect and preserve the aesthetic qualities of the finish on the vehicle, it is well known to provide a clear (unpigmented) topcoat over the colored (pigmented) basecoat, so that the basecoat remains unaffected even on prolonged exposure to the environment or weathering.

Automotive coating compositions have, in recent years, been the subject of increasingly demanding regulations regarding the volatile organic content

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(VOC) of the compositions. Even lower VOC requirements are expected to come into effect in future years. Consequently, various approaches to responding to these present or future regulations are being tried, including the development of aqueous 5 coatings, high-solids coatings, and powder coatings. A problem with high-solids coatings has been, however, that they usually require lower molecular weight filmforming polymers in order to provide compositions having sprayable viscosities. But the use of low 10 molecular weight polymers have resulted in a drop in the drying performance of the coatings when applied to an automotive substrate. The tack time is slower, which would mean that cars would take significantly longer time to paint. A goal has therefore been to 15 develop an improved automotive coating composition in which an increase in the solids content of the composition would not result in a lower dry-time performance.

Many film-forming polymers useful in coating 20 compositions are known in the art. Commonly such polymers are acrylic or methacrylate polymers which are typically linear polymers that cure in the presence of a crosslinking agent. However, compositions containing oligomers are also known. For 25 example, U.S. Patent No. 4,322,508 (1982) discloses a high-solids paint composition based on a hydroxyfunctional oligomer in combination with a hydroxyfunctional copolymer and a crosslinking agent capable of reacting with these binders components. 30 hydroxy-functional oligomer is prepared by esterfication between a carboxylic acid and an epoxide. This patent does not disclose the use of monoepoxyesters in the preparation. DE 1528802 (1994) discloses the preparation of polyesters having a 35 narrow molecular weight distribution, which preparation uses monoepoxyesters.

U.S. Patent No. 3,804,438 (1989) describes polyester oligomers prepared via standard

polycondensation. The molecular weight  $(M_{\mathbf{w}})$  distribution will be broader than oligomers prepared via ring opening polycondensation with negative impact on properties.

5 GB 1,286,451 A (1968) describes oligomers prepared via ring opening polycondensation. All examples, however, are directed to epoxides, as also mentioned in U.S. Patent No. 4,322,508.
GB 1,528,802 A (1978) describes a two-stage process for making oligomers. No acid-anhydrides are mentioned, however, nor are such oligomers combined, in formulation, with acrylics.

EPO 317,184, EPO 316,874, and U.S. Patent Nos. 4,713,427 and 4,732,791 describe coating compositions using polyols and epoxy and anhydride binders for crosslinking. U.S. Patent No. 4,113,702 (1978) describes compositions in which an acid functional polyester of specified viscosity is reacted with an epoxy ester. U.S. Patent No. 4,659,778 (1978) covers oligomers, as may be employed in the present invention, which oligomers, however, have less than 52 carbon atoms.

Various coating compositions containing copolymers having substituted or branched cycloaliphatic groups of various sizes or numbers of carbon 25 atoms are also known. For example, U.S. Patent No. 4,603,064 describes coating compositions, for use in clearcoats, based on acrylics prepared via a combination of silane-functional monomers and isobornyl (meth)acrylate. U.S. Patents No. U.S. 30 3,644,258 discloses the use of tertiaryl butylstyrene monomer in coating compositions. U.S. Patent No. 5,098,952 covers coating compositions based on a blend of a low glass transition temperature (Tq) highhydroxyl acrylic copolymer with a high  $T_q$  low-hydroxyl 35 acrylic copolymer.

None of the above references disclose the combination of such hydroxy-functional oligomers with a copolymer having a minimum amount of a branched or

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substituted cycloaliphatic (meth) acrylate monomer or substituted aromatic vinyl monomer. Applicants have quite unexpectedly found that the use of such a copolymer with alkyl-substituted cycloaliphatic or alkyl-substituted aromatic groups off the backbone, in combination with a multifunctional hydroxy-functional oligoester, solves the problem of obtaining the necessary balance of solids, reactivity, and physical dry time in a coating composition, which balance cannot be achieved with (meth) acrylate copolymers having only shorter chain alkyl or long chain linear alkyl (meth) acrylate monomer units.

### SUMMARY OF THE INVENTION

The present invention is directed to a

15 potentially high-solids coating composition comprising
a blend of the following separate components:

- (a) a hydroxy-functional branched oligoester having at least one hydroxy group on each of at least three separate branches of the oligoester and having a polydispersity of less than 2.5, a hydroxy value of between 80 and 280, and a number average molecular weight (M<sub>n</sub>) between 150 and 3000;
- (b) a hydroxy-functional acrylic or methacrylic copolymer with an M<sub>n</sub> between 500 and 15000 having a hydroxy-functional monomer content between 10-50 weight percent of the copolymer and an alkyl-substituted cycloaliphatic (meth)acrylic monomer and/or alkyl-substituted aromatic vinyl monomer content of at least 10 percent by weight of the copolymer;
- (c) a crosslinking agent capable of reacting with both components (a) and (b) above in the presence of an effective amount of catalyst.

The present composition is especially useful for finishing the exterior of automobiles and trucks and parts thereof. The present composition, depending on

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the presence of pigments and other conventional components, may be used as a primer, primer surfacer, basecoat, and/or clearcoat. It is especially advantageous for use in a clearcoat. The claimed composition further includes a substrate having adhered thereto a coating according to the above composition.

The present invention offers several significant advantages. Applicants have found that the combination of the first two binder components (a) and (b) above gave a remarkably good balance of properties at a high solids content. Specifically, the balance of physical (lacquer type) drying and chemical (crosslinking) drying in relation to solids content was significantly better when compared to binder combinations outside the claimed composition. Compositions according to the present invention also show good acid-etch resistance and, with respect to a topcoat, good gloss.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is directed to a coating composition comprising a novel combination of binder In general, the total polymeric and components. oligomeric components of a coating composition are conventionally referred to as the "binder" or "binder solids" and are dissolved, emulsified or otherwise dispersed in a liquid solvent or carrier. The binder solids generally include all the normally solid polymeric components of the composition. Generally, catalysts, pigments, or chemical additives such as stabilizers are not considered part of the binder solids. Non-binder solids other than pigments usually do not amount for more than about 10% by weight of the composition. The coating composition of the present invention suitably contains about 25-80%, more 35 typically 50-70% by weight of the binder, and about 20-75%, more typically 30-50% by weight, of a solvent or carrier.

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The present composition suitably comprises about 5 to 50 percent, preferably 10 to 30 percent, based on the weight of the binder, of a hydroxy-functional oligoester. As indicated above, this hydroxy-functional branched oligoester has at least one hydroxy group on each of at least three separate branches of the oligoester, has a polydispersity of less than 2.5, a hydroxy value of between 80 and 280, and a number average molecular weight (Mn) between 150 and 3000. Such oligoesters are sometimes referred to as "star" or "dendrite" oligomers because they have a plurality of arms or branches.

This oligoester may be prepared using a monoepoxyester and preferably a monoepoxyester of a branched polycarboxylic acid such as a tertiary fatty 15 acid like Cardura<sup>TM</sup> E10 (versatic acid  $C_{10}$ ) or Cardura<sup>TM</sup> E5 (pivalic acid  $C_5$ ), at least one of which is presently commercially available from Shell. Applicants have found that monoepoxyesters give narrower  $M_{w}$  distributions, have improved reactivity 20 and, therefore, shorter reaction times with less side products. Oligomers based on monoepoxyesters also may exhibit better outdoor durability than other epoxides. However, the broad scope of the present invention is not limited by any particular method of preparing the 25 components of the composition, since various alternate methods are known in the art, for example, as disclosed in U.S. Patent No. 4,322, 508, hereby incorporated by reference in its entirety.

The hydroxy-functional oligomer may be synthesized by various routes, but preferably by employing a ring-opening polycondensation reaction in which r moles of a multi-functional or s-functional polyol (wherein s is preferably up to four, but at least two) or a blend of polyols, so that the average functionality is at least two, are reacted with up to or less than r x s moles of an anhydride and/or acid anhydride and further with a sufficient amount of a

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monoepoxyester to convert the acid groups into hydroxyl groups.

Suitable polyols for the above-mentioned synthesis are glycerine, trimethylolpropane,

5 pentaerythritol, neopentyl glycol, ethyleneglycol, and the like. Suitable anhydrides for the above-mentioned synthesis include succinic anhydride, maleic anhydride, phthalic anhydride, hexahydrophthalic anhydride, methylhexahydrophthalic anhydride, and the like.

Suitable acid-anhydrides for the above-mentioned synthesis are trimellitic anhydride, hydrogenated trimellitic anhydride, the Diels-Alder adduct of maleic anhydride with sorbic acid, the hydrogenated Diels-Alder adduct of maleic anhydride and sorbic acid, and the like.

Suitable monoepoxyesters which can be used for the above-mentioned synthesis are the epoxyesters of benzoic acid, acetic acid, privalic acid (Cardura<sup>TM</sup> E5), versatic acid (Cardura<sup>TM</sup> E10), isobutyric acid (Cardura<sup>TM</sup> E4 commercially available from Dow), and the like.

During the synthesis, the ring opening condensation of lactones (e.g., caprolactone) can also be used. The amount of  $\epsilon$ -caprolactone as a weight percent of the composition, however, preferably is less than 50 percent. The oligomer should have a functionality of at least 2, and preferably an OH value of 120 to 250 and a number average  $M_n$  of less than 2500.

By way of illustration, one structural embodiment of the oligomer, for use in the present invention, can be drawn as follows if the starting polyol is monopentaerythritol.

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$$\begin{array}{c|c} & \text{OH} \\ & \text{CH}_2\text{OCOR}_1\text{---}\text{COOCH}_2 & \text{--CH}\text{---CH}_2\text{---OOCR}_2 \\ \\ & \text{CH}_2\text{OCOR}_3 & \text{--COOCH}_2 & \text{--CH}\text{---CH}_2\text{---OOCR}_2 \\ & \text{COOCH}_2\text{---CH}\text{---CH}_2\text{---OOCR}_2 \\ & \text{OH} \\ \\ & \text{CH}_2\text{OH} \\ \\ & \text{OH} \\ \end{array}$$

wherein, in this example, n + m + o + p is equal to 4 because it is an example of an oligomer with four branches, although other oligomers employed in the invention may have three branches, as indicated above. In this example, for better properties, each of o and p are independently a maximum of 2 and each of n and m are independently a maximum of 4. The subscript q may be from 1 to 3. In a preferred four-functional embodiment, p = 0, o = 0, and n = 3. For other branched oligomers with at least three branches, o and 10 p should be a maximum of 2. In the above formula,  $R_1$ and  $R_3$  are independently an aliphatic, aromatic or cycloaliphatic linkage with up to 9 carbon atoms and  $R_2$ is an aliphatic, aromatic or cycloaliphatic linkage with up to 18 carbon atoms. 15

The present composition suitably comprises about 10 to 90 percent, preferably 30 to 70 percent, based on the weight of the binder, of one or more (meth) acrylate copolymers. This copolymer comprises:

- a) 10-50 weight percent, preferably 20-35 percent, by weight of the copolymer, of a hydroxy-functional monomer; and
- (b) 10-90 weight percent, preferably 15 to weight 60 percent, most preferably 20 to 40 weight percent, of comonomers selected from the group consisting of alkyl-substituted

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cycloaliphatic (meth) acrylic comonomers, alkyl-substituted aromatic vinyl comonomer and combinations thereof, wherein the alkyl-substituted cycloaliphatic group is at least  $C_9$  (has at least nine carbon atoms, preferably 9 to 12) and the alkyl-substituted aromatic vinyl group is at least  $C_{10}$  (has at least 10 carbon atoms, preferably 10 to 12).

(c) 0-80 weight percent, preferably 25 to 50 percent, of other copolymerizable comonomers.

Such alkyl-substituted cycloaliphatic acrylate or methacrylates may include, among others, trimethyl-cyclohexyl methacrylate, t-butyl cyclohexyl methacrylate, isobornyl methacrylate, or combinations thereof. Preferred aromatic vinyl monomers are alkyl-substituted styrene such as t-butyl styrene. The latter monomer is commercially available from Deltech, Interorgana, or Amoco in the U.S.A. Blends of the above-mentioned comonomers, for example, t-butyl-stryrene with such monomers as isobornyl-, t-butyl-cyclohexyl-, or trimethylcyclohexyl-acrylate or methacrylate are also suitable.

The alkyl substitution in the above comonomers may include one or more (preferably 1) alkyl groups each independently having 1 to 6 carbon atoms (preferably 3 to 4 carbon atoms.) The term alkyl-substituted, in this application, is meant to include an aliphatic bridge between carbon atoms on a cycloaliphatic ring. A preferred alkyl-substituted cycloaliphatic monomer, for use in the above-mentioned copolymer, may have a formula as follows:

$$CH_2 = C_{COO} + C_{R_6} + C_{R_5}$$

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wherein R<sup>1</sup> is H or CH<sub>3</sub> and R<sup>2</sup>, R<sup>3</sup>, R<sup>4</sup>, R<sup>5</sup>, and R<sup>6</sup> are each independently H, CH<sub>3</sub> or C[CH<sub>3</sub>]<sub>3</sub>, and wherein optionally a -C[CH<sub>3</sub>]<sub>2</sub>-bridge may exist in a para position on the ring, and wherein the ring group attached to the COO- group has at least 9 carbon atoms, preferably 9 to 12 carbon atoms, and wherein the T<sub>g</sub> of the monomer is preferably greater than 100°C. For example, the reported Tg of homopolymers of isobornyl methacrylate is 110°C, of t-butyl styrene is 110°C, and of t-butyl cyclohexyl methacrylate is 110°C.

The hydroxy-functional copolymer is suitably prepared by conventional free radical induced polymerizations of suitable unsaturated monomers. The copolymer contains 10-50% of hydroxy-functional 15 monomers selected from hydroxyalkyl esters of mono- or di-ethylenically unsaturated carboxylic acids. Ethylenically unsaturated monomers containing hydroxy functionality that may be used in the copolymer include hydroxy alkyl acrylates and hydroxy alkyl 20 methacrylates, wherein the alkyl has 1 to 12 carbon atoms. Suitable monomers include hydroxy ethyl acrylate, hydroxy propyl acrylate, hydroxy isopropyl acrylate, hydroxy butyl acrylate, hydroxy ethyl methacrylate, hydroxy propyl methacrylate, hydroxy 25 isopropyl methacrylate, hydroxy butyl methacrylate, and the like, and mixtures thereof. Further examples are the reaction product of acrylic acid, methacrylic acid, itaconic acid, maleic acid, furmaric acid with monoepoxy compounds such as ethylene oxide, alkylepoxy 30 ethers, alkylepoxyesters, and the like. A hydroxyfunctionality may also be obtained from monomer precursors, for example, the epoxy group of a glycidyl methacrylate unit in a polymer. Such an epoxy group may be converted, in a post polymerization reaction 35 with water or a small amount of acid, to a hydroxy group.

Preferably, the balance of the copolymer comprises other (meth)acrylate and/or vinyl monomers,

including styrene, methyl styrene, and/or vinyltoluene monomers. By the term "(meth)acrylate" in this application is meant methacrylate, acrylate or combinations thereof. Preferably, the majority of these monomers (greater than 50% by weight) in the 5 balance of the copolymer should be methacrylate or acrylate monomers. For example, alkyl methacrylates having 1-12 carbons in the alkyl group can be used such as methyl methacrylate, ethyl methacrylate, 10 propyl methacrylate, isopropyl methacrylate, iso-butyl or tertiary-butyl methacrylate, pentyl methacrylate, hexyl methacrylate, 2-ethyl-hexyl methacrylate, nonyl methacrylate, lauryl methacrylate and the like or combinations thereof. Aryl methacrylates such as benzyl methacrylate also can be used. Half- and 15 diesters of maleic, itaconic, or fumaric acid and combinations thereof can also be used.

The composition of the hydroxy-functional copolymer is such that the hydroxyl value is preferably between 60 and 180, the number average  $M_n$  below 4000, and the calculated  $T_g$  above 30°C. The copolymer may be prepared using free radical initiated polymerization in solution, for example, as described in U.S. 4,322,508, already incorporated by reference.

The weight ratio of oligomer to (meth)acrylate copolymer is suitably from 5/95 up to 95/5, preferably 80/20 to 20/80, most preferably between 30/70 and 70/30.

The oligomer should have at least two hydroxyl groups per molecule, an OH value of 120 to 250, preferably between 140 and 220, and number average molecular weight of less than 2500.

The (meth)acrylate copolymer should have an OH value of 60 to 180, preferably between 100 and 150, a calculated  $T_g$  (using the Fox equation) of at least 40°C, preferably above 50°C, and a number average molecular weight  $M_n$  of less than 4000.

The coating composition contains one or more crosslinkers preferably based on polyisocyanates.

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Many examples of such crosslinkers are commercially available as will be appreciated by those skilled in the coatings art. Various isocyanates employed as crosslinking agents are described in U.S. Patent No. 4,322,508, incorporated by reference. However, the biuret or cyclotrimer of hexamethylene diisocyanate or isophorone diisocyanate are preferred.

Trifunctional isocyanates may be used, for example, triphenylmethane triisocyanate, 1,3,5-benzene triisocyanate, 2,4,6-toluene triisocyanate, an adduct of trimethylol and tetramethyl xylene diisocyanate sold under the tradename "Cythane 3160," "Desmodur" N 3390 which is the trimer of hexamethylene diisocyanate, and the like. Optionally, one can use a polyisocyanate acrylic copolymer derived from isocyanatoethyl methacrylate (commercially available as TMI) and the like, as, for example, disclosed in U.S. Patent 4,965,317 (col. 5) hereby incorporated by reference.

As indicated above, the polyisocyanate may 20 optionally be blocked. Examples of suitable blocking agents are those materials which would unblock at elevated temperatures, for example, lower aliphatic alcohols such as methanol, oximes such as methylethyl ketone oxime, and lactams such as epsiloncaprolactam. 25 Blocked isocyanates can be used to form stable onepackage systems. Polyfunctional isocyanates with free isocyanate groups can be used to form two-package room In these systems, the temperature curable systems. product and isocyanate curing agent are mixed just 30 prior to their application.

Other film-forming polymers, preferably 0 to 45
percent by weight, based on the weight of the binder,
may also be used in conjunction with the abovementioned components. Other film-forming polymers may
be linear or branched and may include acrylics,
acrylourethanes, polyesters, polyester urethanes,
polyethers, and polyether urethanes that are
compatible with the other components of the binder.

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The amounts of oligoester, copolymer, curing agent, and catalyst will, of course, vary widely depending upon many factors, among them the specific components of the composition and the intended use of the composition.

In addition, a composition according to the present invention may contain a variety of other optional ingredients, including pigments, pearlescent flakes, fillers, plasticizers, antioxidants, surfactants and flow control agents.

To improve weatherability of a finish produced by the present coating composition, an ultraviolet light stabilizer or a combination of ultraviolet light stabilizers can be added in the amount of about 0.1-5% by weight, based on the weight of the binder. Such stabilizers include ultraviolet light absorbers, screeners, quenchers, and specific hindered amine light stabilizers. Also, an antioxidant can be added, in the about 0.1-5% by weight, based on the weight of the binder.

Typical ultraviolet light stabilizers that are useful include benzophenones, triazoles, triazines, benzoates, hindered amines and mixtures thereof. Specific examples of ultraviolet stabilizers are disclosed in U.S. Patent 4,591,533, the entire disclosure of which is incorporated herein by reference.

The composition may also include conventional formulation additives such as flow control agents, for example, Resiflow® S (polybutylacrylate), BYK<sup>TM</sup> 320 and 325 (high molecular weight polyacrylates); rheology control agents, such as fumed silica, microgels, and non-aqueous dispersion polymers; water scavengers such as tetrasilicate, trimethyl orthoformate, triethyl orthoformate, and the like.

When the present composition is used as a clearcoat (topcoat) over a pigmented colorcoat (basecoat) to provide a colorcoat/clearcoat finish, small amounts of pigment can be added to the clear

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coat to provide special color or aesthetic effects such as tinting.

as the colorcoat, monocoat, primer, or primer

5 surfacer. The composition has excellent adhesion to a
variety of metallic or non-metallic substrates, such
as previously painted substrates, cold rolled steel,
phosphatized steel, and steel coated with conventional
primers by electrodeposition. The present composition

10 can also be used to coat plastic substrates such as
polyester reinforced fiberglass, reaction
injection-molded urethanes and partially crystalline
polyamides.

When the present coating composition is used as a basecoat, typical pigments that can be added to the composition include the following: metallic oxides such as titanium dioxide, zinc oxide, iron oxides of various colors, carbon black, filler pigments such as talc, china clay, barytes, carbonates, silicates and a wide variety of organic colored pigments such as quinacridones, copper phthalocyanines, perylenes, azo pigments, indanthrone blues, carbazoles such as carbazole violet, isoindolinones, isoindolones, thioindigo reds, benzimidazolinones, metallic flake pigments such as aluminum flake and the like.

The pigments can be introduced into the coating composition by first forming a mill base or pigment dispersion with any of the aforementioned polymers used in the coating composition or with another compatible polymer or dispersant by conventional techniques, such as high speed mixing, sand grinding, ball milling, attritor grinding or two roll milling. The mill base is then blended with the other constituents used in the coating composition to obtain the present coating compositions.

The coating composition can be applied by conventional techniques such as spraying, electrostatic spraying, dipping, brushing, flowcoating and the like. The preferred technique is spraying.

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The present composition may be used as an ambient cure, especially for refinish, or at elevated temperature. In OEM applications, the composition is typically baked at 100-150°C for about 15-30 minutes to form a coating about 0.1-3.0 mils thick. When the composition is used as a clearcoat, it is applied over the colorcoat which may be dried to a tack-free state and cured or preferably flash dried for a short period before the clearcoat is applied. The colorcoat/clearcoat finish is then baked as mentioned above to provide a dried and cured finish.

It is customary to apply a clear topcoat over a basecoat by means of a "wet-on-wet" application, i.e., the topcoat is applied to the basecoat without curing or completely drying the basecoat. The coated substrate is then heated for a predetermined time period to allow simultaneous curing of the base and clear coats.

The following examples illustrate the invention. All parts and percentages are on a weight basis unless otherwise indicated. All molecular weights disclosed herein are determined by gel permeation chromatography using a polystyrene standard.

#### EXAMPLE 1

25 This example illustrates the preparation of a hydroxy-functional oligomer which would be suitable for use in the claimed composition. A 160.16 g amount of butylacetate, 136 g of monopentaerythritol, and 504 g of methylhexahydrophthalic anhydride are loaded in a glass reactor and heated to reflux until 30 dissolved. Afterwards, 750 g of CE10 (Cardura™ E10 glycidyl ester of versatic acid) are added, followed by 1.39 g of dibutyl tin dilaurate dissolved in 8.61 g of butylacetate. The mixture is further refluxed 35 until the acid value (AV) or acid number (AN), synonomous terms, is below 3. A further 177.33 g of butylacetate are added. The total reaction time is about 3 hours. Exemplary test results were as

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follows. The hydroxy numbers or values are calculated from the theoretical structure.

Parameter	Value
Solids	80.5%
Viscosity	X
AN	2.8
	1190
M <sub>n</sub>	1460
M <sub>w</sub>	
Molar ratio: monopentaerythritol/methylhexahydrophthalic	- 1- 10
anhydride/Cardura™ E10	1/3/3
OH ≠ theoretical	161

### EXAMPLE 2

This example illustrates the preparation of a

5 hydroxy-functional oligomer which, however, is less
preferred than the oligomer of Example 1 for use in
the claimed composition. An oligomer was prepared via
conventional polyesterification at 230°C using 474 g
isononanoic acid, 276 g glycerine, 136 g mono
10 pentaerythritol and 504 g methylhexahydrophthalic
anhydride. The structure can be compared with
Example 1 on a molar basis if one uses isononanoic
acid as a reference for versatic acid as found in the
structure of Cardura<sup>TM</sup> E10. The mixture was diluted

15 with 108 g of butylacetate. The results were as
follows:

Parameter	Value
Solids	79.5%
Viscosity	Y
AN	1.5
·	1550
M <sub>n</sub> M <sub>u</sub>	4750
Molar ratio:    monopentaerythritol/methylhexahydrophthalic    anhydride/isononanoic acid/glycerine	1/3/3/3
OH ≠ theoretical	175

The results show a broader  $M_{\rm w}$  distribution compared with Example 1 and a less favorable solids/viscosity balance.

#### COMPARATIVE EXAMPLE 3

This example illustrates the preparation of a 5 hydroxy-functional oligomer which would be suitable for use in the claimed composition, but which again is less favorable than the oligomer of Example 1. procedure of Example 1 was repeated using 411 g of ethylhexylglycidyl ether (Grilonit 1803) instead of 10 Cardura<sup>™</sup> E10 followed by 41 g of butylacetate and 1.05 g of dibutyl tin dilaurate dissolved in 8.95 g of butylacetate. After 8 hours reaction time, the acid value was still 75, although on a molar basis it should be below three. An additional amount of 15 dimethylcyclohexylamine catalyst (135 g) was added as well as 330 g of ethylhexylglycidyl ether. After an additional 16 hours reaction time, the mixture was diluted with 50 g butylacetate. The results were as follows: 20

Parameter	<u>Value</u>
Solids	81
Viscosity	D + 1/3
AN	11.9
$M_n$	750
$M_{\omega}$	1590
Molar ratio: monopentaerythritol/methylhexahydrophthalic anhydride/ethylhexylglycidyl ether	1/3/3
OH ≠ theoretical	214

This reference example shows that the use of epoxyesters is more favorable than the use of epoxy ethers, as mentioned previously.

#### EXAMPLE 4

25 This example illustrates the preparation of a hydroxy-functional oligomer which would be suitable for use in the claimed composition, but which is less preferred than Example 1. The procedure of Example 1

was repeated but using 546 g of cyclododecane epoxide (CDE) followed by 47 g of butylacetate and 1.49 g of butylacetate dissolved in 8.51 g butylacetate.

Although the AN should be low on a molar basis, after 16 hours reflux it remained at 115. The results were as follows:

Parameter	Value
Solids	80.5
Viscosity	U -
AN	115
M <sub>n</sub>	180
M <sub>w</sub>	620
Molar ratio:	
monopentaerythritol/ethylhexahydrophthalic anhydride/cyclododecane epoxide	1/3/3
OH ≠ theoretical	214

The  $M_{\mathbf{w}}$  is lower than theoretically calculated. The molecular weight distribution was bimodal showing side reactions.

#### EXAMPLE 5

This example illustrates the preparation of a preferred hydroxy-functional oligomer which would be suitable for use in the claimed composition. The procedure of Example 1 was followed using 115.91 g of butylacetate, 136 g of monopentaerythritol and 336 g of methylhexahydrophthalic anhydride in the reactor followed by 500 g of Cardura<sup>TM</sup> E10 and 0.97 g dibutyl tin dilaurate in 9.03 g of butylacetate. The batch was refluxed till AN > 3 and further diluted with 117.09 g of butylacetate. Tests results were as follows:

Parameter	<u>Value</u>
Solids	82 %
Viscosity	X + 1/3
AN	3
$M_n$	1200
M <sub>w</sub>	1520

10

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Molar ratio:

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monopentaerythritol/methylhexahydrophthalic anhydride/Cardura<sup>TM</sup> E10

OH ≠ theo 231

1/2/2

#### EXAMPLE 6

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. The oligomer solution of Example 5, in the amount of 1215 g, is further refluxed for 4 hours with 114 g of E-caprolactone and 10 g of butylacetate. The solution is further diluted with 18.5 g of butylacetate. The test results were as follows:

Parameter	<u>Value</u>
Solids	82.2%
Viscosity	W
AN	2.9
$M_n$	1100
$M_w$	1450
free E-caprolactone left	none
Molar ratio: monopentaerythritol/methylhexahydrophthalic	
anhydride/Cardura™ E10/E-caprolactone	1/2/2/1
OH ≠ theoretical	207

10 EXAMPLE 7

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. All together 1100 g of methoxyether of propylene glycol acetate, 136 g of monopentaerythritol and 768 g of trimellitic anhydride are refluxed in a glass reactor until dissolved. A further 0.9 g of dimethylcyclohexylamine dissolved in 4.1 g methoxyether of propylene glycol acetate are added and refluxed for 2 hours. Subsequently, 2000 g of Cardura<sup>TM</sup> E10 were added and the mixture further refluxed until the AN was below 10 after adding an additional 2 grams of

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dimethylcyclohexylamine in 3 g of methoxyether of propylene glycol acetate. At the end, another 454 g of methoxyether of propylene glycol acetate were added. The total reaction time was 5 hours. The results were as follows:

Parameter	Value
Solids	66.3%
Viscosity	N +
AN	6.9
$M_n$	1000
M <sub>₩</sub>	2300
Molar ratio: monopentaerythritol/trimellitic anhydride/	
Cardura <sup>TM</sup> E10	1/4/8
OH ≠ theoretical	155

#### EXAMPLE 8

This example illustrates the preparation of a hydroxy-functional oligomer which would be suitable for use in the claimed composition, but which would be less preferred that the previous example. 10 procedure of Example 7 was repeated, however, adding 1568 g of ethylhexylglycidyl ether (Grilonit 1807) followed by 1.6 g of dimethylcyclohexylamine in 3.4 g of methoxyether of propylene glycol acetate. After 24 hours reaction time and adding extra amount of up to 15 1.6 g of dimethylcyclohexylamine catalyst, the AN remained 43. An additional amount of 457 g of ethylhexylglycidyl ether were added with an extra 6 hours of refluxing and thinning with 221 g of methoxyether of propylene glycol acetate. 20 molecular weight distribution of the final reaction product was much broader than Example 7, showing more side reactions of the ethylhexylglycidyl ether. results were as follows:

WO 96/20968	PCT/US96/00129
Parameter	<u>Value</u>
Solids	64.6%
Viscosity	DT
AN	0.5
$M_{\mathbf{n}}$	700
M <sub>w</sub>	3000
Molar ratio: monopentaerythritol/trimellitic anhydride/ ethylhexylglycidyl ether	1/4/8
OH ≠ theoretical	182

#### EXAMPLE 9

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. All together, 688 g of butylacetate, 136 g of monopenta-erythritol and 792 g of hydrogenated trimellitic anhydride were refluxed until dissolved. Further were added 2000 g of Cardura<sup>TM</sup> E10 followed by 2.93 g of dibutyl tin dilaurate in 7.07 g of butylacetate, and the mixture was refluxed until the AN was below 3. Finally, 34 g of butylacetate were added. The results were as follows:

<u>Parameter</u>	<u>Value</u>
Solids	81%
Viscosity	Z 2
AN	2.7
$M_{\mathbf{n}}$	1960
$M_{w}$	3360
Molar ratio: Monopentaerythritol/hydrogenated trimellitic anhydride/Cardura™ E10	1/4/8
OH ≠ theoretical	153

#### EXAMPLE 10

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. The procedure of Example 9 was repeated using 160 g of

butylacetate, 136 g of monopentaerythritol and 462 g of hexahydrophthalic anhydride. Further, 483 g of Cardura<sup>TM</sup> E5 followed by 48 g of butylacetate and 1.07 g dibutyltinddilaurate were dissolved in 8.93 g of butylacetate, and refluxed until the AN was lower than 3. The mixture was diluted further with 61 g of butylacetate. The results were as follows:

Parameter	<u>Value</u>
Solids	81.7%
Viscosity	z 1- 1/2
AN	0.4
$M_{\mathbf{p}}$	980
M <sub>w</sub>	1360
Molar ratio: Monopentaerythritol/hexahydrophthalic anhydride/Cardura <sup>TM</sup> E5	1/3/3
OH ≠ theoretical	208

#### EXAMPLE 11

This example illustrates the preparation of
another hydroxy-functional oligomer which would be
suitable for use in the claimed composition. The
procedure of Example 9 repeated using 248 g
butylacetate, 444 g of phthalic anhydride, 134 g of
trimethylolpropane and further using 750 g of
Cardura<sup>TM</sup> E10 and 1.33 g of dibutyl tin dilaurate in
8.57 g of butylacetate. Finally, the oligomer was
diluted using 311 g of butylacetate (refluxing until
the AN was below 10). The results were as follows:

	Parameter	<u>Value</u>
Solids		72.3
Viscosity		F + 1/3
AN		7.3
M <sub>n</sub>		1020
M <sub>tr</sub>		1350

Molar ratio:

Trimethylolpropane/phthalic anhydride/ Cardura™ E10

1/3/3

OH ≠ theoretical

127

### EXAMPLE 12

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. example illustrates, for reference, a linear oligomer 5 with an non-theoretical OH (OH Value) below 120. procedure of Example 9 was repeated using 160 g of butylacetate, 104 g of neopentylglycol, 672 g of methylhexahydrophthalic anhydride, 1000 g of Cardura™ E10, and 1.78 g dibutyl tin dilaurate in 10 8.22 g of butylacetate. The reaction mixture was refluxed until the AN was below 3, and then the mixture was diluted with 274 g of butylacetate. The results were as follows:

<u>Parameter</u>	Value
Solids	80.1%
Viscosity	U + 1/3
AN	2.8
$M_n$	1200
M <sub>w</sub>	1760
Molar ratio: Neopentylglycol/methylhexahydrophthalic anhydride/Cardura E10	
OH ≠ theoretical	63

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#### EXAMPLE 13

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. The procedure of Example 9 was repeated using 160 g of butylacetate, 168 g of methylhexahydrophthalic anhydride, 296 g of phthalic anhydride, and 136 g monopentaerythritol. Further were added 750 g of Cardura<sup>TM</sup> E10 with 1.35 g dibutyl tin dilaurate in

8.65 g of butylacetate. The mixture was refluxed until the AN was below 3 and further thinned with 167.5 g of butylacetate. The results were as follows:

Parameter	<u>Value</u>
Solids	81.9%
Viscosity	Y
AN	1.3
$M_n$	1160
M <sub>w</sub>	1640
Molar ratio: Monopentaerythritol/methylhexahydrophthalic anhydride/phthalic anhydride/Cardura E10	1/1/2/3
OH ≠ theoretical	166

#### EXAMPLE 14

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition, but which was less preferred. An oligomer was prepared via conventional polyesterification at 230°C using 474 g of isononanoic acid, 276 g of glycerine, 136 g of monopentaerythritol, 168 g of methylhexahydrophthalic anhydride and 296 g of phthalic anhydride. The structure can be compared on a molar basis with the structure of oligomer Example 13 using isononanoic acid as a reference for the versatic acid as found chemically in the structure of Cardura<sup>TM</sup> E10. The mixture was diluted with 315.5 g of butylacetate after reaching an AN below 3. The results were as follows:

	Parameter	<u>Value</u>
Solids		81.2
Viscosity		z2 - 1/3
AN		0.9
M <sub>n</sub>		1580
M		4970

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Molar ratio:

5

10

15

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Monopentaerythritol/methylhexahydrophthalic
Anhydride/phthalic anhydride/isononanoic
acid/glycerine

1/1/2/3/3

OH ≠ theoretical 166

The results show a less favorable solids/ viscosity relation due to a broad  $M_{\mathbf{w}}$  distribution when using a standard polyesterification reaction.

#### EXAMPLE 15

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. In particular, this example illustrates, for reference, an oligomer with more than 50% &-caprolactone. Then, 66.34 g of &-caprolactone were heated with 33.52 g of 1,4-cyclohexanedimethanol dissolved in 0.133 g of xylene at 160°C for 4 hours. The results were as follows:

Parameter	Value
Solids	99.7%
Viscosity	U + 1/2
AN	0.8
$M_{\mathbf{n}}$	630
M <sub>w</sub>	810
% free ε-caprolactone	0.15
Molar ratio: 1,4-cyclohexanedimethanol/E-caprolactone	1/2.5
OH ≠ theoretical	162
Weight % E-caprolactone in composition	± 66%

#### EXAMPLE 16

This example illustrates the preparation of another hydroxy-functional oligomer which would be suitable for use in the claimed composition. The procedure of Example 9 was repeated using 140 g of butylacetate, 136 g of monopentaerythritol, 672 g of methylhexahydrophthalic anhydride, 600 g Cardura<sup>TM</sup> E4 and 0.3 g of dibutyl tin dilaurate dissolved in 3.7 g of butylacetate. The mixture was refluxed until the

AN was in the range of 5-10. Subsequently, 108 g of butylacetate were added. The results were as follows:

Parameter	<u>Value</u>
Solids	77.3
Viscosity	X + 1/3
AN	6.9
$M_{\mathbf{n}}$	1100
M <sub>w</sub>	1900
Molar ratio: Monopentaerythritol/methylhexahydrophthalic	1/4/4
Anhydride/Cardura™ E4	1/3/3
OH ≠ theoretical	159

#### EXAMPLE 17

This example illustrates the preparation of a copolymer derived from comonomers comprising a 5 branched cycloaliphatic (meth) acrylic monomer. reactor equipped with stirrer, condensor, thermometer and feed vessel were added 18 parts of butylacetate which was heated to reflux. A blend of 37.8 parts isobornylmethacrylate, 19.6 parts 2-hydroxyethylmeth-. 10 acrylate, 12.6 parts 2-ethylhexylmethacrylate, 5.5 parts t-butylperoxy-2-ethylhexanoate and 18.2 parts butylacetate were fed to the reactor over 6 hours. Afterwards, 1 part of butylacetate was used as rinse and added to the reactor. The mixture was refluxed 15 for a further 20 minutes. Then, 0.5 parts t-butylperoxy-2-ethylhexanoate was dissolved in 1.5 parts butylacetate and added over 30 minutes followed by 1 part butylacetate as rinse. The mixture was refluxed for another 30 minutes and 1 part of butylacetate was 20 added. The test results were as follows:

WO 96/20968	PCT/US96/00129
Parameter	Value
Solids ·	60.6
Viscosity	T + 1/3
AN	1.9
$M_n$	2700
$M_{\mathbf{w}}$	5300
Composition (wt): Isobornylmethacrylate/2-hydroxyethylmeth- acrylate/2-ethylhexylmethacrylate	54/28/18
Calculated T <sub>q</sub>	35°C
OH ≠ theoretical	121

#### COMPARATIVE EXAMPLE 18

The procedure of Example 17 was followed except that isobornylmethacrylate was replaced with i-butyl-methacrylate. The test results were as follows:

Parameter	<u>Value</u>
Solids	59.8
Viscosity	T + 1/2
AN	18
$M_n$	2800
$M_w$	6900
Composition (wt): Iso-butylmethacrylate/2-hydroxyethylmeth- acrylate/2-ethylhexylmethacrylate	54/28/18
Calculated T <sub>g</sub>	35°C
OH ≠ theoretical	121

# COMPARATIVE EXAMPLE 19

The procedure of Example 17 was followed except that isobornylmethacrylate was replaced with t-butyl-methacrylate. The results were as follows:

Parameter	<u>Value</u>
Solids	58.9
Viscosity	U - 1/4
AN	4.7

WO 96/20968	PCT/US96/00129
M	2600
$M_{\mathbf{n}}$	5900
$M_{w}$	
<pre>Composition (wt):    Tertiary-butylmethacrylate/2-hydroxyethyl-    methacrylate/2-ethylhexylmethacrylate</pre>	54/26/10
Calculated $T_q$	66°C
OH ≠ theoretical	121

# COMPARATIVE EXAMPLE 20

The procedure of Example 17 was followed except that isobornylmethacrylate was replaced with methylmethacrylate. The test results were as follows:

Parameter	Value
Solids	61
Viscosity	z 5
AN	1.9
M <sub>n</sub>	3300
M <sub>w</sub>	7400
Composition (wt):  Methylmethacrylate/2-hydroxyethylmeth- acrylate/2-ethylhexylmethacrylate	54/28/18
Calculated T <sub>g</sub>	64°C
OH ≠ theoretical	121

EXAMPLE 21

The procedure of Example 17 was followed except that isobornylmethacrylate was replaced with t-butyl-styrene. The test results were as follows:

Parameter	<u>Value</u>
Solids	61.8
Viscosity	v
AN	0.6
M <sub>n</sub>	2500
	6300
M <sub>W</sub>	
<pre>Composition (wt):    Tertiary-butylstyrene/2-hydroxyethylmeth-    acrylate/2-ethylhexylmethacrylate</pre>	54/28/18
Calculated T <sub>g</sub>	75°C
OH ≠ theoretical	121

#### COMPARATIVE EXAMPLE 22

The procedure of Example 17 was followed except that isobornylmethacrylate was replaced with styrene.

Parameter	<u>Value</u>
Solids	61.1
Viscosity	X + 1/5
AN	1.9
$M_n$	3400
$M_{w}$	8000
Composition (wt): Styrene/2-hydroxyethylmethacrylate/	54/28/18
2-ethylhexylmethacrylate	
Calculated T <sub>g</sub>	62°C
OH ≠ theoretical	121

#### EXAMPLE 23

The procedure of Example 17 was repeated except 5 that 18 parts of amylacetate were loaded in the reactor. A mixture of 21 parts styrene, 21 parts isobornylmethacrylate, 15.4 parts 2-hydroxyethyl methacrylate, 1.8 parts acrylic acid, 9.8 parts 10 Cardura™ E10, 3.5 parts t-butylperoxy-3,5,5-trimethylhexanoate and 3.5 parts amylacetate were fed to the reactor over 5 hours. A one part amount of amylacetate was used to rinse, and the reactor mixture held for 20 minutes at reflux. Further added were 0.3 parts t-butylperoxy-3,5,5-trimethylhexanoate in 1.7 15 parts amylacetate over 30 minutes, followed by a 1 part amylacetate rinse. The mixture was then refluxed for another 60 minutes. The batch was finally thinned with 17.6 parts butylacetate. The results were as 20 follows:

WO 96/20968	PCT/US96/00129
Parameter	Value
Solids	62.2
Viscosity	V + 1/3
AN	6.7
•=-	2500
M <sub>n</sub>	5400
$M_{w}$	
Composition (wt): Isobornylmethacrylate/styrene/ 2-hydroxyethylmethacrylate/acrylic acid/Cardura <sup>TM</sup> E10	30/30/22/4/14
Calculated T <sub>g</sub>	62°C
OH ≠ theoretical	126

The reaction product of acrylic acid and Cardura E5 (the Shell trade name for the monoepoxy ester of pivalic acid) was obtained during the synthesis and has a  $T_{\rm g}$  for calculation of -25°C.

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# EXAMPLE 24

The procedure of Example 23 was repeated with styrene replaced by t-butylstyrene and isobornylmethacrylate replaced by isobutylmethacrylate.

60.7 H - 1/4
_
E 0
5.8
1900
4200
0/30/22/4/14
50°C
126

#### EXAMPLE 25

The procedure of Example 17 was repeated with 37.8 parts isobornylmethacrylate replaced by 24.50 parts of styrene and 19 parts of isobornylmethacrylate. The results were as follows:

WO 96/20968	PCT/US96/00129
Parameter	<u>Value</u>
Solids	62.4
Viscosity	Y
AN	2.6
$M_n$	3200
M <sub>w</sub>	(6700)
Composition (wt):  Isobornylmethacrylate/styrene/ 2-hydroxyethylmethacrylate/2-ethyl- hexylmethacrylate	19/35/28/18
Calculated T <sub>q</sub>	64°C
OH ≠ theoretical	121

#### EXAMPLE 26

The procedure of Example 17 was repeated with 39.9 parts isobornylmethacrylate, 17.5 parts 2-hydroxyethylmethacrylate, 3.78 parts acrylic acid, 8.82 parts Cardura<sup>TM</sup> E5, 4.7 parts t-butylperoxy-2-ethylhexanoate and 2.3 parts of butylacetate in the first feed. At the end, the batch was thinned with 16.7 parts of butylacetate. The results were as follows:

Parameter	<u>Value</u>
Solids	59%
Viscosity	X
AN	8.9
$M_{\mathbf{n}}$	2900
$M_w$	7000
Composition (wt):	
Tsobornylmethacrylate/2-hydroxyethyl- methacrylate/acrylic acid/Cardura™ E5	57/25/5.4/12.6
Calculated T <sub>q</sub>	71°C
OH # theoretical	150

10 The reaction product of acrylic acid and Cardura<sup>TM</sup> E5 was obtained during the synthesis and has a  $T_{\alpha}$  for calculation of +3°C.

#### EXAMPLE 27

The procedure of Example 17 was repeated using 12 parts Solvesso<sup>TM</sup> 100 solvent in the reactor. Styrene

in the amount of 16.2 parts, 162 parts of isobornyl-methacrylate, 16.8 parts of 2-hydroxyethylmeth-acrylate, 10.8 parts of 2-ethylhexylmethacrylate, 0.8 parts of DBTP and 6.2 parts Solvesso<sup>TM</sup> 100 solvent were fed to the refluxing solvent over 5 hours. An additional one part of Solvesso<sup>TM</sup> 100 solvent was added as a rinse. The reaction mixture was held for 1 hour and further thinned down with 20 parts of butylacetate. The results were as follows:

Parameter	<u>Value</u>
Solids	61.1%
Viscosity	g + 1/3
AN	2.1
$M_{\mathbf{p}}$	1500
M <sub>w</sub>	3400
Composition (wt): Isobornylmethacrylate/2-hydroxyethylmeth- acrylate/2-ethylhexylmethacrylate	27/28/18
Calculated T <sub>g</sub>	65°C
OH ≠ theoretical	120

10 EXAMPLE 28

The procedure of Example 17 was repeated, however, replacing isobornylmethacrylate with t-butyl-cyclohexylmethacrylate. The results were as follows:

Parameter	<u>Value</u>
Solids	61.8
Viscosity	X
AN	2.4
$M_{\mathbf{n}}$	3400
M <sub>w</sub>	8300
Composition (wt): Tertiary-butylcyclohexylmethacrylate/2- hydroxyethylmethacrylate/2-ethylhexyl- methacrylate	54/28/18
Calculated T <sub>q</sub>	66°C
OH ≠ theoretical	120

#### EXAMPLE 29

A red solid color paint is formulated by blending the following constituents:

### Component

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5 Methacrylate copolymer (from Example 17) in the amount of 24.37 parts.

Polyester oligomer (from Example 17) in the amount of 3.71 parts.

Red dispersion, in the amount of 20.57 parts comprising: 6.48% of pigment CINQUASIA RED RT-333-D (Ciba-Geigy), 30.08% of a hydroxy-functional acrylic copolymer with aminofunctional groups having a weight average molecular weight of 15000-20000, and 33% organic solvent.

Orange dispersion, in the amount of 17.58 parts comprising: 48.5% of pigment Sicomin Rot L-3235-S (BASF), 18.5% of a hydroxy-functional copolymer with aminofunctional groups having a weight average molecular weight of 15000-20000, and 33% organic solvent.

Additives in the amount of 0.83 parts comprising: mar and slip silicones, UV-protection additives, accelerator catalyst.

Organic solvents in the amount of 13.85 parts comprising solvents common to the art.

Isocyanate resin in the amount of 19.09 parts comprising: polyisocyanate cyclotrimer, DES N 3390 from Bayer.

The VOC of the resulting paint is 480 g/L at spray viscosity (22 sec DIN 4 cup). The physical drying properties are tested in two different ways. For dust free time, the paint is spray applied on top of a standard primer at a dry film thickness of 2.5-3 mils. At appropriate time intervals, the surface is touched slightly by cotton fibers. The paint is considered dust free if no cotton fibers are retained anymore at the paint surface. For tack free time, the paint is spray applied on top of a standard primer at

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a dry film thickness of 2.5-3 mils. At appropriate time intervals, a paper is put on the surface of the paint. On top of the paper, a standard weight of 50 g is place. After 10 seconds, the weight is removed and the panel is turned upside down. If the paper falls within 10 seconds, the paint is considered tack-free. The results were as follows:

VOC

480 g/L

Dust-free time

42 minutes

Tack-free time

145 minutes

# COMPARATIVE EXAMPLE 30

The procedure of Example 29 was followed, except

that the methacrylate copolymer (Example 17) was
replaced with methacrylate copolymer (Comparative
Example 18). The results were as follows:

VOC

504 g/L

Dust-free time

51 minutes

Tack-free time

240 minutes

# COMPARATIVE EXAMPLE 31

The procedure of Example 29 was followed, except that the methacrylate copolymer (Example 17) was replaced with methacrylate copolymer (Comparative Example 19). The results were as follows:

VOC

504 g/L

Dust-free time

48 minutes

Tack-free time

240 minutes

# COMPARATIVE EXAMPLE 32

The procedure of Example 29 was followed, except
that the methacrylate copolymer (Example 17) was
replaced with methacrylate copolymer (Comparative
Example 20). When formulated in a red topcoat, a
totally unacceptable solids/viscosity balance was
reached. At spray viscosity (22 sec DIN 4 cup), the
VOC is 526 g/L.

#### COMPARATIVE EXAMPLE 33

The procedure of Example 29 was followed, except that the methacrylate copolymer (Example 17) was replaced with methacrylate copolymer (Example 21).

5 The results were as follows:

VOC 480 g/L

Dust-free time 43 minutes

Tack-free time 150 minutes

#### EXAMPLES 34-38

A number of clear coats were formulated with increasing amount of oligomer by blending the following constituents:

# 10 Component:

Methacrylate copolymer (from Example 23)

Polyester oligomer (from Example 1)

Additives: mar and slip silicones, UV-protection additives, accelerator catalysts.

Organic solvents: common to the art.

Isocyanate resin: polyisocyanate cyclotrimer, DES N 3390 from Bayer.

The results were as follows:

		Parts by Weight			
	Ex. 34	Ex. 35	Ex. 36	Ex. 37	Ex. 38
Component					
Methacrylate copolymer	53.00	44.28	33.94	23.84	12.26
Polyester oligomer	-	8.60	17.53	27.71	38.03
Additives	1.96	2.07	2.11	2.22	2.28
Organic solvents	28.41	27.10	26.56	24.69	24.30
Isocyanate resin	16.63	18.06	19.86	21.54	23.13

# Applied Test Methods

- 20 (1) Dust free time: as described with topcoat Example 29.
  - (2) Tack-free time: as described with topcoat Example 29.
- (3) Tape print resistance: a piece of masking tape is applied on the paint film using moderate

pressure and rolled over the masking tape with a 2 kg weight. The masking tape is removed after 5 minutes. After waiting 15 minutes, the film is checked for masking tape imprint.

The test results were as follows:

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Clear Coat	Binder Composition <u>Acrylic/Oligomer</u>	VOC g/L	Tapeprint after 5 hrs.	Dust-free time (min)	Tack-free time (min)
34	100/0	498	very poor	20	180
35	80/20	473	poor	24	210
36	60/40	452	fair-good	28	220
37	40/60	420	good	· 29	220
38	20/80	397	good-very good	31	240

Out of these results, the following conclusions can be drawn. The presence of the polyester oligomer is important for VOC-reasons. The increased oligomer resulted in better VOC-values. The oligomer had only a slight negative influence on physical drying, as expressed by dust-free times and tack-free times. The oligomer had a strong positive influence on crosslink density as expressed by tape print resistance results.

Those skilled in the art will no doubt be able to compose numerous variations on the themes disclosed, such as changing the amounts of ingredients insignificantly from those shown, adding innocuous or supplementary substances, or substituting equivalent components for those shown. Such variations are considered to be within the inventive concept, as defined in the following claims.

#### We claim:

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1. A composition useful as a coating composition comprising 25 to 80 percent by weight of binder components and 75 to 20 percent by weight of an organic liquid carrier, wherein the binder comprises the following separate components:

- (a) 5 to 50 percent, by weight of the binder, of one or more hydroxy-functional oligoesters having at least one hydroxy group on each of at least three separate branches of the oligoester, a polydispersity of less than 2.5, a hydroxy value of between 80 and 280, and a number average molecular weight  $(M_p)$  between 150 and 3000;
- (b) 10 to 90 percent, by weight of the binder, of a hydroxy-functional acrylic or methacrylic 15 copolymer with an  $M_n$  between 500 and 15000 having a hydroxy-functional comonomer content between 10 and 50 weight percent of the copolymer and at least 10 percent of comonomers selected from the group 20 consisting of alkyl-substituted cycloaliphatic (meth)acrylic comonomer and/or alkyl-substituted aromatic vinyl comonomers, and combinations thereof, wherein the alkyl-substituted cycloaliphatic group on said comonomers has at least nine carbon atoms and the 25 alkyl-substituted aromatic group on said comonomers has at least ten carbon atoms;
  - (c) 5 to 30 percent, by weight of the binder, of a crosslinking agent capable of reacting with both components (a) and (b) above in the presence of an effective amount of catalyst; and
  - (d) an effective amount of a curing catalyst.
  - 2. The composition of Claim 1, wherein the hydroxy-functional oligomer is an oligoester obtained by the reaction of a branched polycarboxylic acid and a monoepoxyester.
    - 3. The composition of Claim 1, wherein the hydroxy-functional oligomer is the product of a ring-opening polycondensation reaction in which a

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multifunctional polyol is reacted with an anhydride or acid anhydride and further with an epoxide.

4. The composition of Claim 1, wherein the hydroxy-functional oligoester has the following formula:

$$\begin{bmatrix} \text{CH}_2\text{OCOR}_1 & \text{COOCH}_2 & \text{-CH} & \text{-CH}_2 & \text{-OOCR}_2 \\ \text{CH}_2\text{OCOR}_3 & \text{-COOCH}_2 & \text{-CH} & \text{-CH}_2 & \text{-OOCR}_2 \\ \text{COOCH}_2 & \text{-CH} & \text{-CH}_2 & \text{-OOCR}_2 \\ \text{OH} & \text{OH} \end{bmatrix}_{\text{m}}$$

$$\begin{bmatrix} \text{CH}_2\text{OH}}_{\text{O}} \\ \text{CH}_2 & (\text{CO}(\text{CH}_2) & 50) & \text{q}^{\text{H}} \end{bmatrix}_{\text{p}}$$

wherein n+m+o+p is equal to 4, o and p are each a maximum of 2, q is from 1 to 3, and  $R_1$  and  $R_3$  are independently an aliphatic, aromatic or cycloaliphatic linkage with up to 9 carbon atoms and  $R_2$  is an aliphatic, aromatic or cycloaliphatic linkage with up to 18 carbon atoms.

- 5. The composition of Claim 1, wherein the alkyl-substituted cycloaliphatic (meth)acrylic comonomer and/or alkyl-substituted aromatic vinyl comonomers are selected from the group consisting of isobornyl, t-butyl cyclohexyl, or 3,5,5-trimethyl-cyclohexyl (meth)acrylate, t-butyl stryrene and combinations thereof.
- 6. The composition of Claim 1, wherein the ratio of the hydroxy-functional oligoester to the copolymer is between 30:70 to 70:30.
  - 7. The composition of Claim 1, wherein the hydroxy-functional oligoester has an OH value of 120 to 250 and a number average molecular weight of less than 2500.

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8. The composition of Claim 1, wherein the hydroxy-functional copolymer has an OH value of 60 to 180, a calculated  $T_g$  of at least 40°C, and a number average molecular weight of less that 4000.

# INTERNATIONAL SEARCH REPORT

Interional Application No PCI/US 96/00129

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C08G18/40 C09D175/06

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols) IPC 6 COSG COSD

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category' Citation of document, with indication, where appropriate, of the relevant passages  X US,A,5 286 782 (LAMB ET AL ) 15 February 1994 see column 1, line 58 - column 6, line 14; claims 1-4; example 2  A EP,A,0 036 975 (BAYER) 7 October 1981 see page 2, line 25 - page 9, line 12; claims 1-3  A EP,A,0 171 847 (AKZO) 19 February 1986 see page 1, line 30 - page 6, line 29; claims 1-9; examples 1,2,8  A WO,A,94 28045 (BASF) 8 December 1994 see page 2, line 34 - page 13, line 27; claims/	C. DOCUM	MENTS CONSIDERED TO BE RELEVANT	
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see page 2, line 25 - page 9, line 12; claims 1-3  EP,A,O 171 847 (AKZO) 19 February 1986 see page 1, line 30 - page 6, line 29; claims 1-9; examples 1,2,8  WO,A,94 28045 (BASF) 8 December 1994 see page 2, line 34 - page 13, line 27; claims			
see page 1, line 30 - page 6, line 29; claims 1-9; examples 1,2,8  WO,A,94 28045 (BASF) 8 December 1994 see page 2, line 34 - page 13, line 27; claims	A	see page 2, line 25 - page 9, line 12;	1
see page 2, line 34 - page 13, line 27; claims	A	see page 1, line 30 - page 6, line 29;	1
-/	A	see page 2, line 34 - page 13, line 27;	1-8
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X Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
* Special categories of cited documents:  A document defining the general state of the art which is not considered to be of particular relevance  E earlier document but published on or after the international	<ul> <li>T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>X' document of particular relevance; the claimed invention</li> </ul>
filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	cannot be considered novel or cannot be considered to involve an inventue step when the document is taken alone 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the
"O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed	document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  '&' document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
29 April 1996	29.05.96
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentiaan 2	Authorized officer
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Bourgonje, A

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